



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

SOFTWARE means:

Intellectual creation expressed in a symbolic language

“a computer program is an expression, in any language code or notation, of a set of instructions intended to cause a device having digital information processing capabilities to perform a particular function”

(AUS Copyright Act)

“ a set of statements or instructions to be used directly or indirectly in a computer to bring about a certain result)

(US Copyright Act)



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

Programmer activities:

- 1) ideation of a principle finalised to a specific objective
- 2) choice among different alternative ways
- 3) writing of the specific list of steps selected (source code)
- 4) translation of the source code in object code (binary code)



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

Algorithm

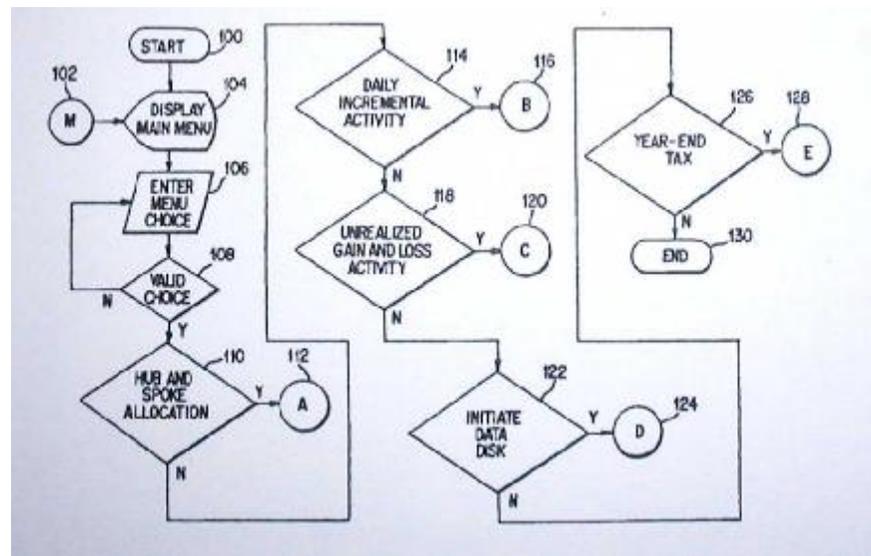
“a prescribed set of well-defined, unambiguous rules or processes for the solution of a problem in a finite number of steps”

(Samuelson, Davis, Kapur & Reichman

A Manifesto concerning the Legal protection of Computer Programs
1994)

“Algorithm means a method of combining, in a computer program, instruction given to a computer”

(Japanese Copyright Act)





CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

Binary Code

Object program

Series of two character (0 - 1), that symbolise two opposite state, on the hardware, meaning:

0 = off 1 = on



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

CODES

(source code)

```
put_proc ("SHOW", APROC, SHNUL, ESHOW,  
1, 0, GMEYBE/GLIST/GVALUE, TSPECIAL);  
LIBENTRY void SHOW (type value)  
VTYP type;  
VDAT value;  
{  
char buf [MAXSTRING + 1];  
if (type != 0)  
{  
vencode (buf, MAXSTRING, type,  
value);  
SCRAttrib (0);  
ConsPuts (buf);  
}  
}
```

(object code)

Symbol	Binary representation
a	0000 0000
b	0000 0001
c	0000 0010
d	0000 0011
...	
+	1111 1110
	1111 1111



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

Two aspects:

- 1) Functional (problem /solution approach)
- 2) Expressive (way selected to perform 1)

IDEA / EXPRESSION Dichotomy

Independency / Originality ?



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

Two ways of protection:

1) PATENT (scope: protection of a **applied** idea)
(equivalency covered)
SUBSTANTIVE

2) COPYRIGHT (scope: protection of an idea's **expression**)
(equivalency not covered)
FORMAL



European Patent Convention

Art 52

“(1) European patents shall be granted for any inventions which are susceptible of industrial application, which are new and which involve an inventive step.

(2) The following in particular shall not be regarded as inventions within the meaning of paragraph 1:

...

C) programs for computers

(3) the provisions of paragraph 2 shall exclude patentability of the subject-matter or activities referred to in that provision only to the extent to which a European patent application or European patent relates to such subject-matter or activities as such”



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

GUIDELINES European Patent Convention C-IV

*“A computer program claimed by itself or as a record on a carrier, **is not patentable** irrespective of its content. The situation is not normally changed when the computer program is loaded into a known computer. If however the subject-matter as claimed makes a technical contribution to the known art, patentability should not be denied merely on the ground that a computer program is involved in its implementation”*



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

GUIDELINES European Patent Convention C-IV

*“This means for example, **that program-controlled machines and program-controlled manufacturing and control processes should normally be regarded as patentable subject-matter**. It follows also that, where the claimed subject-matter is concerned only with the program-controlled internal working of a known computer, **the subject matter could be patentable if it provides a technical effect**”*



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

GUIDELINES European Patent Convention C-IV

*“Consider the case of a known data-processing system with a small fast working memory and a larger but slower further memory. Suppose that the two memories are organised under program control, in such a way that a process which needs more address space than the capacity of the fast working memory can be executed at substantially the same speed as if the process data were loaded entirely in that fast memory. **The effect of the program virtually extending the working memory is of a technical character and might therefore support patentability**”*



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

TRIPS Agreement

(Art. 9)

2. Copyright protection shall extend to **expressions** and **not to ideas**, procedures, methods of operation or mathematical concepts as such.

(Art. 10)

Computer Programs, whether in source or in object code, shall be protected as **literary works** under the Berne Convention (1971)



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

EC DIRECTIVE 91/250

Art 1

- Protection of the computer programs by copyright **including their preparatory design material**
- Protection shall apply to the **expression** in any form of a computer program
- **Ideas and principles** which underlie any element of a computer program, including those which underlie its interfaces, are not protected by copyright



CASUCCI
STUDIO LEGALE
LAW FIRM

SOFTWARE

- Case MICROSOFT, 21 april 2004 – European Commission C (2004) 900

- Open Source Community



- Compulsory License and de-compilation : differences



MILANO

CORSO ITALIA 6
20121 MILANO
TEL. 335 218344
FAX 335 5113676

CASUCCI
STUDIO LEGALE
LAW FIRM

VERONA

VIA OBERDAN 16
37121 VERONA
TEL. 335 218344
FAX 335 5113676

Ø **GIOVANNI F. CASUCCI**



- Ø Attorney at Law
- Ø Director of Master in Industrial Property Management (IPM) MIP Polytechnic University of Milano,
- Ø Professor, Faculty Biotechnology – Milano-Bicocca
- Ø Official Trainer in SVAD – Anti-Fraud Custom Authority
- Ø Member of LES, AIPPI and INTA.